

## **Privacy Notice to the Historical Data Shop of Deutsche Börse AG**

Version 1.2 effective as of 09.02.2023

Under this privacy notice (hereinafter the “Notice”), Deutsche Börse AG (hereinafter “We” or “Us”) informs you how We process your personal data. Your personal data means any information relating to you (hereinafter the “Personal Data”), such as name or contact details.

We pay special attention on the processing of Personal Data in accordance with the General Data Protection Regulation EU 2016/679 (“GDPR”) and applicable national data protection laws.

### **1 Controller**

#### **1.1 Our identity and contact details**

We are:

Deutsche Börse AG  
60485 Frankfurt am Main  
Germany

Tel. +49-(0) 69-2 11-0

E-Mail [info@deutsche-boerse.com](mailto:info@deutsche-boerse.com)

#### **1.2 Contact details of Our data protection officer**

Our data protection officer is:

Data Protection Officer Deutsche Börse AG  
Deutsche Börse AG  
60485 Frankfurt am Main  
[dataprotection@deutsche-boerse.com](mailto:dataprotection@deutsche-boerse.com)

### **2 Purpose, Categories of Personal Data, Legal Basis and Retention**

#### **2.1 Categories of your Personal Data and purposes of Our processing**

We process the following categories of your Personal Data for the following purposes:

**2.1.1 General contact by e-mail, post or telephone:** Name, address, telephone number or e-mail address if you wish to contact us directly. We collect your personal data when you provide it to us via our contact forms or when you contact us directly. Then we process the information that you have provided to us in the course of establishing contact. This includes, in particular, names and contact data provided (address, telephone number or e-mail address), date and reason for contact. The personal data that you collect will only be used to answer and fulfil your specific enquiries. The legal basis is Art. 6 para. 1 lit. (f) GDPR, which permits the processing of personal data for the purpose of our legitimate interest in processing and answering your enquiry. Your personal data processed in this respect will be stored by us for as long as it is necessary to carry out our relationship (communication) with you and in accordance with the applicable legal storage regulations.

**2.1.2 General use of our website:** When you use our website and online platform, we will automatically log information about the browser that is used to access the website, such as your IP address, session time, pages viewed from that address and the website from which you are visiting

the website. We may also collect device-specific information, such as your hardware model and operating system. We use this information to identify and prevent malpractice and crime and to investigate improper conduct. The legal basis for the processing of your personal data for these purposes is Art. 6 para. 1 lit. (c) GDPR in fulfilling our legal obligation to take technical and organisational measures to ensure secure data processing in accordance with Article 32 GDPR and Article 6 para. 1 lit. (f) GDPR in order to pursue our legitimate interests in data processing for network and information security. After the specified period of 30 days, the above data will be deleted. If data is processed for a longer period of time, we will anonymise or delete the data as soon as their storage no longer serves the respective purposes.

**2.1.3 Performance of contracts and services:** If you or your company want to be authorized as a customer of one of our services, we collect your personal data (first name, last name, contact data, company) to register you to our service and for the usage of our service. The purposes of personal data processing are determined by the specific service or product. This may include especially assessments, consultation, trading activities, and the execution of business accounting and tolls. The legal basis for processing this personal data is Article 6 para. 1 lit. (b) GDPR, as processing is necessary to fulfill a contract or for pre-contractual measures between us and the customer. If the user is not the customer who concluded the contract with us, but an employee of the customer or otherwise authorized by the customer to use our services, the legal basis for processing is Article 6 para. 1 lit. (f) GDPR, as the processing is in the legitimate interest of the customer. The legitimate interest of the customer is to enable the user to use our services in accordance with the contract. Your personal data processed in this regard will be stored by Us as long as it is necessary to carry out Our relationship (registration and use of our service) with you and required by applicable statutory retention laws.

**2.1.4 Sales, Marketing and invitations:** We may use your personal data (name, first name, address, company, telephone number, e-mail address) to send you information about our services, partners, promotions and events that we think may be of interest to you. We may contact you by e-mail based on our legitimate interests under Article 6 para. 1 lit. (f) of the GDPR if we have a direct business relationship with you or with the company for which you work, and if you have not objected. We may contact you by telephone if you have given your consent (Article 6 para. 1 lit. (a) GDPR) or on the basis of a presumed consent on the condition that you will welcome the call. We may contact you by post on the basis of our legitimate interests under Article 6 para.1 lit. (f) GDPR as long as you do not object. In such cases, it is our legitimate interest to inform business partners or potential customers about such services and products that may be of interest to them and thus to maintain our existing or future business relationship. Your personal data processed in this respect will be stored by us for as long as it is necessary to carry out our relationship (communication) with you and in accordance with the applicable legal storage regulations.

## **2.2 Do you have to provide your Personal Data to Us?**

The provision of your Personal Data is necessary to access the protected areas of this website which is limited to members of Our customer groups, to make direct contact with us, or to receive a newsletter. This means that you are obliged to provide your Personal Data to Us as part of a user registration process when making use of these options. Such Personal Data remain under your control at all times via the “My Profile” facility. Your user account can be viewed or modified at any time by you, the account owner.

The consequences if you do not provide your Personal Data to Us are that the respective service offered may no longer be available.

### **2.3 Do We make automated decisions on you?**

We do not make any automated decisions solely on automatic processing, including profiling, which produces legal effects concerning you or similarly significantly affects you.

### **3 Transfer of Personal Data to Third Parties**

We will not transfer your Personal Data to third parties except such transfer is permitted by law or you have explicitly consented to the transfer.

We may transfer your Personal Data to public authorities where this is required by the applicable law (e.g. the German Stock Exchange Act (*Börsengesetz*) or the German Securities Trading Act (*Wertpapierhandelsgesetz*). A transfer of your Personal Data is also permitted if there is a suspicion of a criminal offence or the abuse of the services offered on Our website. In this event, we shall be entitled to transfer your Personal Data to the criminal prosecution authority.

Otherwise, your personal data will be stored exclusively in our database and on our servers, or on those of our commissioned data processing providers. We will only share your Personal Data with other controllers for their own purposes such as cooperation or advertising partners under the condition that you explicitly and voluntarily agreed to such transfer of your Personal Data; in this case, we will obtain your consent separately from this Notice.

### **4 Cookies and similar technologies**

When you visit the website and our online platform, information is stored on your terminal device in the form of a "cookie." Cookies are small files that are stored on your terminal device and save certain settings and data to exchange with our websites via your browser.

For example, cookies enable us to tailor a website to better match your interests or to store your password so that you do not have to re-enter it every time. As a general rule, we never collect personal data via cookies, unless you have given us your express permission to do so.

In addition to cookies, we may also use local storage technology (also known as "local data" and "local storage") so that the website is adjusted to your personal needs and usage. Data is stored locally in the cache of your browser, which continues to exist after the browser window is closed or the program is terminated - unless you delete the cache.

If you do not want us to recognize your terminal device, please configure your Internet browser to erase all cookies from your device, to block all cookies or to receive a warning before a cookie is stored. You will find brief instructions on how to do this below.

Please note that certain functions of our website may no longer work, or not correctly, without cookies.

#### **a. Types of cookies and similar technologies**

Cookies and similar technologies can be assigned to four categories, depending on their function and intended purpose: absolutely necessary cookies and similar technologies, performance cookies and similar technologies, functional cookies and similar technologies, and cookies for marketing purposes and similar technologies.

#### **i. Absolutely necessary cookies and similar technologies**

Are needed for you to navigate within websites and operate basic website functions, such as the issuance of anonymous Session IDs for bundling several related queries to a server or local storage for technical necessary functions of the website.

#### **ii. Performance cookies and similar technologies**

collect information on the usage of our websites, including for example the Internet browsers and operating systems used, the domain name of the website which you previously visited, the number of visits, average duration of visit, and pages called up. These cookies and similar technologies do not store any information that would make it possible to personally identify the user. The information collected with the aid of these cookies and similar technologies is aggregated and is therefore anonymous. Performance cookies serve the purpose of improving the user friendliness of a website and therefore enhancing the user's experience. You can block the use of such cookies and similar technologies by creating an exclusion cookie (see "managing cookies" below).

#### **iii. Functional cookies and similar technologies**

enable a website to store information the user has already entered (such as user ID, language selection, or the user's location), in order to offer improved, personalized functions to the user. Functional cookies and similar technologies are also used to enable requested functions such as playing videos and to make a user's decision to block or disable a certain function (e.g. web analysis) - "opt-out cookies".

#### **iv. Cookies for marketing purposes and similar technologies**

are used to offer more relevant content to users, based on their specific interests. They are also used to limit the display frequency of an ad and to measure and control the effectiveness of advertising campaigns. They register whether users have visited a website or not, and which contents were used. This information may possibly also be shared with third parties, such as advertisers, for example. These cookies and similar technologies are often linked to the functions of third-party websites. You can block the use of such cookies and similar technologies by creating an opt-out cookie (see "Managing cookies" below).

#### **b. Cookies and local storage on our website and our online platform**

Necessary local storage:

<b>Local Storage - Name</b>	<b>Description</b>	<b>Retention period</b>
cookie_consent	User has confirmed cookies	Until deletion in cache
refresh_token	Application token to request data from Datashop	Until deletion in cache
i18nextLng	Preferred language	Until deletion in cache
logoutReason	Logout reason of last session	Until deletion in cache
basket-checkout	Temporary basket content	Until deletion in cache
id_token	Application token to request data from Datashop	Until deletion in cache
access_token	Application token to request data from Datashop	Until deletion in cache
LOG_IN_PATHNAME	Redirection path after login	Until deletion in cache

Necessary cookies:

<b>Necessary Cookies - Name</b>	<b>Description</b>	<b>/ Domain</b>	<b>Retention period</b>
hjSessionUser	Ensure the further transfer to the payment provider's website for the user to submit and confirm the payment	.unzer.com	Until deletion in cache
AWSALBCORS	Ensure the further transfer to the payment provider's website for the user to submit and confirm the payment	payment.heidelpay.com	Until deletion in cache
AWSALB	Ensure the further transfer to the payment provider's website for the user to submit and confirm the payment	payment.heidelpay.com	Until deletion in cache

Functional cookies:

<b>Functional Cookies - Name</b>	<b>Description</b>	<b>/ Domain</b>	<b>Retention period</b>
YouTube-nocookie	Allowing the integration of videos from YouTube for each embedded video	Google LLC (.youtube.com)	Until deletion in cache

### **c. Managing cookies and similar technologies**

You can change your cookie preferences at any time by clicking on the 'Cookies Manager' link. You can then click on the button "Only use necessary technologies" on the Cookie-Banner to revoke your consent. You may need to refresh your page for your settings to take effect.

Please note: Not all of the cookies mentioned above will necessarily be used when you browse our website using a mobile terminal device.

### **d. How can I deactivate cookies?**

In the following you will find a summary of links that provide detailed information on the deactivation of cookies in commonly used browsers.

Mozilla Firefox (<https://support.mozilla.org/en-US/kb/delete-cookies-remove-info-websites-stored>)

Internet Explorer (<https://support.microsoft.com/en-us/help/17442/windows-internet-explorer-delete-manage-cookies>)

Google Chrome (<https://support.google.com/accounts/answer/61416?hl=en>)

Safari (<https://support.apple.com/en-en/guide/safari/sfri11471/mac>)

## 5 Your Rights

Under applicable data protection laws, you have rights

- of access to, rectification of, and/or erasure of your Personal Data;
- to restrict or object to its processing;
- to tell Us that you do not wish to receive marketing information; and
- (in some circumstances) to require certain of your Personal Data to be transferred to you or a third party, which you can exercise by contacting Us at the details set out at the beginning of this Notice.

To the extent Our processing of your Personal Data is based on your consent, you also have the right to withdraw your consent, without affecting the lawfulness of Our processing based on your consent before its withdrawal.

To exercise your rights, you can contact Us as set out in Section 1.1 above. You can also lodge a complaint about Our processing of your Personal Data with a data protection authority.

A list and contact details of local data protection authorities is available [here](#).